

UTILITY

PATENT APPLICATION
TRANSMITTAL

Attorney Docket No.

CRD-0931 CIP

First Inventor

Robert Falotico et al.

Title

COMPOUND AND DELIVERY DEVICE FOR
INHIBITING CONSTRICTIVE VASCULAR REMODELING

Express Mail Label No.

EV 313407414 US

(only for new nonprovisional applications under 37 CFR
1.53(b))

APPLICATION ELEMENTS

See MPEP Chapter 600 concerning utility patent application
contents.ADDRESS TO: Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(submit an original and a duplicate for fee processing)
2. ☐ Applicant claims small entity status.
3. ☒ Specification [Total Pages 30]
(Preferred arrangement set forth below)
 - Descriptive Title of the Invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 USC 113) [Total Sheets 2]
5. Oath or Declaration (unexec.) [Total Pages 3]
 - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
 - i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)

- a. ☐ Computer Readable Form (CRF)
- b. ☐ Specification Sequence Listing on:
 - i. ☐ CD-ROM or CD-R (2 copies); or
 - ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement
(IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Request and Certifications under 35 U.S.C. 122
(b)(2)(B)(i). Applicant must attach form
PTO/SB/35 or its equivalent.
17. ☐ Other

18. ☒ If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-Part (CIP) of prior application No.: 09/850,293, filed May 7, 2001.

Prior application information: Examiner Kathryn P. Odland Group Art Unit: 3743

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS


☒ Customer Number or Bar Code Label 000027777 or ☐ Correspondence Address below

Name: Philip S. Johnson, Esq.
Address: Johnson & Johnson
One Johnson & Johnson Plaza
New Brunswick, NJ 08933-7003 USA

20. TELEPHONE CONTACT

Please direct all telephone calls or telefaxes to Carl J. Evens at:
Telephone: (732) 524-2518 Fax: (732) 524-2808

21. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

| | | |
|-----------|---|----------------|
| NAME | Carl J. Evens | Reg. No. 33874 |
| SIGNATURE |  | |
| DATE | April 21, 2004 | |

| | | |
|------------------------|--------------------------|------------------------|
| FEE TRANSMITTAL | <i>Complete if Known</i> | |
| | Application Number | To Be Determined |
| | Filing Date | April 21, 2004 |
| | First Named Inventor | Robert Falotico et al. |
| | Group Art Unit | |
| | Examiner Name | |
| | Attorney Docket Number | CRD-0931 CIP |


FEE CALCULATION

CLAIMS AS FILED

| (1) | (2) | (3) | (4) | (5) |
|---------------------------|--------------------------|--------------|------------|-----------------------|
| FOR: | NUMBER FILED | NUMBER EXTRA | RATE | BASIC FEE \$770.00 |
| TOTAL CLAIMS | 14 - 20 = | 0 | x 18.00 | \$ 0.00 |
| INDEPENDENT CLAIMS | 2 - 3 = | 0 | x 86.00 | \$ 0.00 |
| MULTIPLE DEPENDENT CLAIMS | <input type="checkbox"/> | N/A | \$290.00 | |
| | | | TOTAL FEES | \$ 770.00 |

METHOD OF PAYMENT

- ☒ Please charge Deposit Account No. 10-0750/CRD-0931 CIP/CJE in the amount of \$770.00. Three copies of this sheet are enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required in connection with the filing of this communication, or credit any overpayment, to Account No. 10-0750/CRD-0931 CIP/CJE. Three copies of this sheet are enclosed.

| | | |
|-----------------------|---|------------------------------------|
| SUBMITTED BY: | | <i>Complete (if applicable)</i> |
| Typed or Printed Name | Carl J. Evens | Reg. No. 33,874 |
| Signature |  | Date: 04/21/04 |
| | | Deposit Account No. 10-0750 |

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: Robert Falotico, Gregory A. Kopia,
and Gerard H. Llanos

For : COMPOUND AND DELIVERY DEVICE FOR INHIBITING
CONSTRICTIVE VASCULAR REMODELING

Express Mail Certificate

"Express Mail" mailing number: EV 313407414 US

Date of Deposit: April 21, 2004

I hereby certify that this complete continuation-in-part application, including utility patent application and fee transmittal pages, specification pages, claims, drawings and unexecuted combined Declaration and Power of Attorney is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

An executed Combined Declaration and Power of Attorney and an executed Assignment will be submitted to the United States Patent and Trademark Office upon receipt of the U.S. Serial Number for this patent application.

Laurie Phillips

(Typed or printed name of person mailing paper or fee)

Laurie Phillips

(Signature of person mailing paper or fee)